

UNCLAIMED REDEMPTION / IDCW CLAIM FORM

Please read documentation requirements and Terms and Conditions overleaf.
Please fill in the information below legibly in English and in CAPITALS



1. APPLICANT'S DETAILS (AS PER FOLIO PLEASE PROVIDE THE FOLLOWING DETAILS IN FULL)

Sole / First Unitholder Name

Folio no

PAN First/Sole Unitholder/Guardian Second Unitholder Third Unitholder

^ If there is any change in your name, please refer instructions (3) overleaf

2. UNCLAIMED REQUEST

Kindly redeem (please tick ✓) Unclaimed Redemption Unclaimed IDCW

Scheme Name: _____ Plan: Regular Direct | Option: Growth IDCW Payout

Please pay out my unclaimed amount(s) Existing Bank details OR New Bank details (Please fill the Change of bank request in the below section)

CHANGE OF BANK DETAILS (Please note this request will be considered a Default Bank)

Bank Name:

Bank A/C No. A/C Type: Savings Current NRE NRO FCNR Others

City Pin IFSC Code MICR

LEI Code: Valid up: (Legal Entity Identifier Number is Mandatory for Redemption Transaction value of INR 50 crore and above for Non-Individual investors.)

Please ensure the name in this Folio no & in your bank account are the same. Please update your IFSC code & MICR Code in order to get payouts via electronic mode directly to your bank account

*If there of change of bank details, please refer instructions (2) overleaf.

3. CONTACT DETAILS OF SOLE/FIRST APPLICANT

Correspondence Address" (PO. Box is not sufficient) Please note that your address details will be updated as per your KYC records available with CKYC/KRA

Overseas Address (Mandatory for NRI / FPI Applicants)

City/Town State

City/Town Province

Country Pin Code

Country Zip code

Tel (Res.)

Tel (Off.)

Mobile

Email ID

Mobile Number provided pertains to* Self Family Member | Email ID provided pertains to* Self Family Member

(Note: If Mobile number/Email pertains to Family Member please select any one)

Spouse Dependent Parents Dependent Children Dependent Siblings Parent or Legal guardian (for Minor Investment)

If the mobile number or the email id provided herein above does not appear to be that of the unit holder's, then the AMC shall send suitable communication in this regard to the unit holder.

Go-green initiative : Investors providing their Email ID would mandatorily receive E - Statement of Accounts in lieu of physical Statement of Accounts and the schemewise annual report or abridged summary and other statutory reports on email. Please register your Mobile No. & Email Id with us to get instant transaction alerts via SMS & Email.

I wish to receive scheme wise annual report or abridged summary through Physical mode (Applicable only for investors who have not registered their email id)

4. DECLARATION & SIGNATURES (TO BE SIGNED AS PER THE EXISTING MODE OF HOLDING)

I/We hereby declare and confirm that the information provided in this form is true and correct and is duly supported by the document proof enclosed alongwith the form. I/We "further agree and confirm that in the event there is any discrepancy between the information provided herein and the supporting documents, the that NJ Asset Management Private Limited ("NJAMC") / NJ Mutual Fund ("NJMF") shall be" entitled to reject the form. The that NJ Asset Management Private Limited ("NJAMC")/ NJ Mutual Fund ("NJMF") shall not be liable and/or responsible for any loss or damage that I/we may incur if the Form is rejected.

First / Sole Applicant / Guardian / Authorised Signatory

2nd Applicant's Signature

3rd Applicant's Signature

Date: Place:



ACKNOWLEDGMENT SLIP (TO BE FILLED IN BY THE INVESTOR)

UNCLAIMED REDEMPTION / IDCW FORM

From Mr / Ms / M/s _____

Folio no.	Redemption/IDCW warrant number.	Amount
Scheme name		

Collection Center's Stamp & Receipt Date and Time

INSTRUCTIONS

1. Proof of Identity:

- a. ANY ONE of the below mentioned documents to be submitted for First / Sole Unitholder / Guardian as valid ID proof e.g. PAN card / Unique Identification Number (UID) (Aadhar) / Passport / Voter ID/ Driving License

2. Update/change in bank details: Unitholder(s) need to attach any one of the following mandatory documents in original, in respect of each bank account for registering the bank accounts, failing which the particular bank account will not be registered. This will help in verification of the account details and register them accurately.

- i. Documents to be submitted for a new bank account (Any one of the following documents) :
- Cancelled original cheque OR
 - True copy of Bank Passbook with current entries not older than 3 months and self-attested OR
 - Self-attested original bank statement with current entries not older than 3 months
- Note: Any of the above document as provided by investor should have first unit holder name, bank account number, IFSC and MICR printed on the proof
- ii. Documents to be submitted for existing bank mandate currently registered in the folio / account (Any one of the following documents) :
- Cancelled original cheque
 - True copy of Bank Passbook with entries and self-attested
 - Self-attested original bank statement with entries
 - In case aforementioned old bank mandate proofs are not available, investors are requested to visit the nearest ISCs / Official Points of Acceptance along with original and self-attested copies of Proof of Identity (POI) and Proof of Address.

Note: Any of the above documents as provided by the investor should have the first unit holder name and bank account number printed on the proof.

With respect to above, investors are also requested to note below points:

- Letter from Bank, attested by banker / branch manager etc. shall not be considered as old and new bank mandate proof
- Handwritten/rubber stamp-based bank passbook or cheque will not be accepted for both old as well as new bank proof
- In case of Minor's folio, bank account of the minor or a joint account of the minor with the Parent or Legal guardian must be provided for new bank mandate.

3. Change of Name / Surname

Please submit a written request specifying the reason for change in name / surname along with this form.

The following documents are to be submitted as valid proofs for name change as per the scenarios explained below.

- a. In case of name change on account of:
- Marriage / Divorce: Copy of Marriage certificate / Divorce decree.
 - Numerology / any other reason: Gazette notification
 - Non-individual: New MOA, BR, ASL along with certificate of incorporation.
- b. New signature of the Unitholder duly attested by the Bank Manager.
- c. Bank account proofs as per new name (refer instruction (2)).
- d. Attested copy of PAN card / KYC in the new name.
- e. Self-attested Govt. issued Photo ID proof - e.g.: PAN Card, Passport etc., where the signature tallies with the new signature of the investor.
- f. Following documents need to be submitted for the change of name:

Reason for Name / Signature Change	Documents required
General	<ul style="list-style-type: none"> • Duly signed request by the investor • Certified true copy of the state Gazette OR the original copy of the state gazette in which a declaration has been made to that effect. OR Affidavit on a 100 non judicial stamp paper duly notarized in original • New signature (if any, post change in name) certified by the banker • Copy of the PAN card and Verified KYC in new name
Name corrections	<ul style="list-style-type: none"> • Duly signed request by the investor • Copy of the PAN card, Verified KYC along with any of the following: <ul style="list-style-type: none"> • Ration card / Election Card / Passport / Aadhar Card / School transfer certificate / standard 10th or 12th certificate
Post Marriage	<ul style="list-style-type: none"> • Duly signed request by the investor (Request should be accompanied with both the maiden name signature and new signature – in case of change in signature post marriage)

	<ul style="list-style-type: none"> • Certified copy of Marriage certificate duly attested • New signature (post change in name – if applicable) attested by the banker with complete details (stamp, designation, employee code) • Complete bank details (if any) along with personalized cancelled cheque leaf • Copy of the PAN card and Verified KYC in new name
Divorce	<ul style="list-style-type: none"> • Duly signed request by the investor (Request should be accompanied with both old and new signature – in case of change in signature post-divorce) • Certified copy of Divorce decree duly notarized • New signature (post change in name – if applicable) attested by the banker with complete details (stamp, designation, employee code) • Complete bank details (if any) along with personalized cancelled cheque leaf having printed name • Copy of the PAN card and verified KYC in new name <p>Please note that divorce decree will suffice only if the last name is changing as a result of divorce.</p> <p>If both, first and last name, are changing as a result of divorce, investor needs to submit one of the following:</p> <ul style="list-style-type: none"> • Certified true copy of the state Gazette • Marriage Certificate that reflects both maiden name and post marriage name

- g. Unitholder(s) who are KYC compliant, should additionally get the new name updated with the KRA. The form for change in KYC details is available on our website njmutualfund.com
- h. The above documents shall be in Original. If copies are furnished, the same must be submitted at the ISCs where they will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the Unit Holder after due verification.
- i. In case the original of any document is not provided for verification, then the copies should be attested by the bank manager with his/her full signature, name employee code, bank seal and contact number
- j. In the event of a request for change in Name/ Signature being invalid/incomplete/ not satisfactory in respect of signature mismatch/document insufficient/ not meeting any requirement, the request will be liable for rejection.

4. General Instructions

- a. To be signed by all unitholders, if mode of holding is joint.
- b. Alterations in the form, if any should be countersigned as per the mode of holding registered in the folio(s)
- c. The details provided in this form which are valid (as per verification carried out by us) will get updated/ override the previous detail(s) in the folio(s)
- d. Copies of all documents submitted should be self-attested and accompanied by originals for verification or they should be attested by personnel / entity authorized for attesting as per KYC guidelines
- e. NJ Mutual Fund may call for any additional documents if required
- f. In the event of death of First / Sole / all Unitholders, the claimant should first complete the transmission formalities. Subsequent to processing of the transmission, a request to claim the unclaimed amount(s) should be submitted to us.